

FORM PTO-1390 (REV. 11-2000)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER <b>P/61460-PCT</b>
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>09/889271</b>
INTERNATIONAL APPLICATION NO. <b>PCT/GB00/00044</b>	INTERNATIONAL FILING DATE <b>01/11/2000</b>	PRIORITY DATE CLAIMED <b>1/16/1999</b>	
TITLE OF INVENTION <b>RADIO FREQUENCY RECEIVER CIRCUIT</b>			
APPLICANT(S) FOR DO/EO/US <b>Ian James FORSTER</b>			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>			
<b>Items 11 to 20 below concern document(s) or information included:</b>			
<ol style="list-style-type: none"> <li>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment.</li> <li>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</li> <li>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li>20. <input checked="" type="checkbox"/> Other items or information: <b>Form PCT/IB/301; 304; 308; 332; PCT/IPEA/408; 409; 416; Return Receipt Postcard</b></li> </ol>			

U.S. APPLICATION NO. (known to applicant) <div style="font-size: 2em; font-weight: bold; margin-top: 5px;">09/889271</div>		INTERNATIONAL APPLICATION NO. <b>PCT/GB00/00044</b>		ATTORNEY'S DOCKET NUMBER <b>P/61460-PCT</b>	
---	--	--	--	--	--

21. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1,000.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00  International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00  International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00  <div style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></div>				<b>CALCULATIONS PTO USE ONLY</b>          	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).				\$860.00  \$0.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	16 - 20 =	0	x \$18.00	\$0.00	
Independent claims	5 - 3 =	2	x \$80.00	\$160.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$270.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$1,020.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$0.00	
<b>SUBTOTAL =</b>				\$1,020.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$0.00	
<b>TOTAL NATIONAL FEE =</b>				\$1,020.00	
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$0.00	
<b>TOTAL FEES ENCLOSED =</b>				\$1,020.00	
				Amount to be refunded:	\$
				charged:	\$

a. ☒ A check in the amount of **\$1,020.00** to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$\_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-1145. A duplicate copy of this sheet is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail No. EL 337 911 343 US in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on:

July 13, 2001  
(date)

Alan Israel  
Reg. No. 27,564

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Alan ISRAEL, Esq.  
KIRSCHSTEIN, OTTINGER, ISRAEL & SCHIFFMILLER, P.C.  
489 Fifth Avenue  
New York, New York 10017  
212/697-3750

SIGNATURE:

Alan Israel  
NAME

27,564  
REGISTRATION NUMBER

09/889271

JC18 Rec'd PCT/PTO 13 JUL 2001

Docket No.: P/61460

**PATENTS**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail No. EL 337 911 343 US in an envelope addressed to: Box: PCT, Commissioner of Patents and Trademarks, Washington, D.C., 20231, on:  
July 13, 2001  
(date) Alan Israel  
Reg. No. 27,564

International Application No.: PCT/GB00/00044  
International Filing Date : January 11, 2000  
In re: Application of : Ian James FORSTER  
Deposited : July 13, 2001  
For : RADIO FREQUENCY RECEIVER CIRCUIT

New York, New York  
July 13, 2001

**PRELIMINARY AMENDMENT**

BOX: PCT  
Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

Prior to calculation of the filing fee and before examination, kindly amend the above captioned application as follows:

**IN THE CLAIMS:**

Please cancel claims 1-16, without prejudice.

Please add the new set of claims set forth on the enclosed pages.

**IN THE ABSTRACT:**

Delete the "Abstract" on the PCT cover sheet and replace it with the "Abstract of the Disclosure" set forth on a separate sheet attached hereto.

**REMARKS**

An abstract has been provided on a separate sheet; and the claims have been amended to conform to U.S. practice.

Wherefore, an early action on the merits is earnestly solicited.

Respectfully submitted,

KIRSCHSTEIN, OTTINGER, ISRAEL & SCHIFFMILLER, P.C.

Attorneys for Applicant(s)

489 Fifth Avenue

New York, New York 10017-6105

Tel: (212) 697-3750

Fax: (212) 949-1690

  
\_\_\_\_\_  
Alan Israel

Registration No. 27,564

### **ABSTRACT OF THE DISCLOSURE**

A radio frequency (RF) receiver circuit comprises an antenna for receiving an RF signal; an optical detector for receiving a modulated optical signal and converting it to an electrical signal; a mixer for mixing the electrical and RF signals to produce an intermediate frequency signal; and a reflective optical modulator which is operable at an intermediate frequency to modify and reflect the modulated optical signal with the intermediate frequency. By detecting the optical signal which has been modified by the circuit, it is possible to remotely detect the RF signal received by the circuit and the circuit thus acts as a remotely accessible RF receiver circuit.

## **PROPOSED NEW CLAIMS**

### **I CLAIM:**

17. A radio frequency (RF) receiver circuit, comprising:
  - a) an antenna for receiving an RF signal;
  - b) an optical detector for receiving and converting a modulated optical signal to an electrical signal;
  - c) means for mixing the electrical and RF signals at an intermediate frequency to produce an intermediate frequency signal; and
  - d) a reflective optical modulator operable at the intermediate frequency for modifying and reflecting the optical signal with the intermediate frequency signal.
18. The RF receiver circuit according to claim 17, wherein the means for mixing comprises a non-linear RF component.
19. The RF receiver circuit according to claim 18, wherein the non-linear RF component comprises a transistor.
20. The RF receiver circuit according to claim 17, wherein the optical detector comprises a photodiode.
21. The RF receiver circuit according to claim 20, wherein the photodiode comprises a non-linear RF component.
22. The RF receiver circuit according to claim 17, wherein the reflective optical modulator comprises a piezoelectric acoustic resonator.

23. The RF receiver circuit according to claim 17; and further comprising means for directing a part of the modulated optical signal onto the optical detector and a part onto the reflective optical modulator.

24. The RF receiver circuit according to claim 17, wherein the optical detector is located on a reflective surface of the reflective optical modulator such that the optical signal is simultaneously incident on both the reflective optical modulator and the optical detector.

25. The RF receiver circuit according to claim 17, wherein the antenna is operable to transmit the RF signal at an RF frequency related to a modulation frequency of the optical signal.

26. The RF receiver circuit according to claim 25, wherein the circuit is a transceiver capable of simultaneously transmitting and receiving RF signals.

27. The RF receiver circuit according to claim 17; and further comprising a photodiode having a capacitance and being connected to the antenna such that a resonant frequency of the antenna is remotely tuned by using the modulated optical signal to set the capacitance of the photodiode.

28. The RF receiver circuit according to claim 27, wherein the photodiode comprises the optical detector.

29. An interrogator circuit for use in a tagging system, comprising: semi-passive transponders and a radio frequency (RF) receiver circuit, comprising:

- a) an antenna for receiving an RF signal;
- b) an optical detector for receiving and converting a modulated optical signal to an electrical signal;

c) means for mixing the electrical and RF signals at an intermediate frequency to produce an intermediate frequency signal; and

d) a reflective optical modulator operable at the intermediate frequency for modifying and reflecting the optical signal with the intermediate frequency signal.

30. A radio receiver array, comprising: a plurality of radio frequency (RF) receiver circuits, each comprising:

a) an antenna for receiving an RF signal;

b) an optical detector for receiving and converting a modulated optical signal to an electrical signal;

c) means for mixing the electrical and RF signals at an intermediate frequency to produce an intermediate frequency signal; and

d) a reflective optical modulator operable at the intermediate frequency for modifying and reflecting the optical signal with the intermediate frequency signal.

31. A distributed antenna system, comprising: an optical fiber including a plurality of radio frequency (RF) circuits associated with the optical fiber, each RF circuit comprising:

a) an antenna for receiving an RF signal;

b) an optical detector for receiving and converting a modulated optical signal to an electrical signal;

c) means for mixing the electrical and RF signals at an intermediate frequency to produce an intermediate frequency signal; and



d) a reflective optical modulator operable at the intermediate frequency for modifying and reflecting the optical signal with the intermediate frequency signal.

32. A radio frequency circuit, comprising:

- a) an antenna; and
- b) a photodiode connected across the antenna, the photodiode having a capacitance and being operable for receiving a modulated optical signal to provide a local oscillator frequency of the circuit, the capacitance of the photodiode being used to tune the antenna.

24. 01. 2001

1

RADIO FREQUENCY RECEIVER CIRCUIT

(40)

This invention relates to a radio frequency (RF) receiver circuit and more especially, although not exclusively, to a remotely accessible and tuneable radio receiver circuit for use as a radio relay.

5 US 5307195 discloses an apparatus for retrieving a microwave signal received by an antenna at a remote location and comprises a respective optical assembly located at the antenna and at a location remote thereto. The two optical assemblies are optically connected to each other by an optical fibre. The optical assembly at the remote location is operable to transmit an optical signal to the second assembly and to receive a  
10 modulated optical from the second assembly and convert it to an electrical signal. At the antenna the optical assembly comprises an optical loop which includes an electro-optic optical modulator within its path and is such that the optical signal received from the first assembly is looped back to the first assembly via the optical fibre. The electro-optic optical modulator, which comprises for example a Mach Zehnder type modulator, is  
15 connected to the antenna such that the received optical signal is modulated as it passes around the loop in response to the microwave signal received by the antenna. Detection of the modulated optical signal at the remote location allows retrieval of the microwave signal.

20 According to the present invention a radio frequency receiver circuit comprises: an antenna for receiving a radio frequency signal and is characterised by an optical detector for receiving a modulated optical signal and converting it to an electrical signal; means

1a

for mixing the electrical and radio frequency signals to produce an intermediate frequency signal; and a reflective optical modulator which is operable at the intermediate frequency to modify and reflect the optical signal with the intermediate frequency signal.

- 5 By detecting the optical signal which has been modified and reflected by the circuit, it is possible to remotely detect the radio frequency (RF) signal received by the circuit and the circuit thus acts as a remotely accessible RF receiver. Furthermore, since the modulation frequency of the illuminating optical signal determines the local oscillator frequency of the circuit, the circuit further operates as a remotely tuneable RF receiver.
- 10 The term radio frequency is intended to have a broad meaning to include any electromagnetic radiation which is propagating in free space and is intended to include such radiation in at least the frequency range 10 kHz to 300GHz. A particular advantage of the receiver circuit of the present invention is that due to its simplicity it is inexpensive and yet provides a high performance capability. Depending on the performance required
- 15 the circuit can either include its own power source such as a battery or it can rely on the

optical signal to provide the electrical energy required to operate it. Since the local oscillator frequency of the circuit is set by the modulation frequency of the optical signal, the circuit does not need to generate its own stable reference frequency and this reduces the circuit's complexity and electrical power consumption.

5

Preferably the means for mixing the modulated electrical and RF signals comprises a non-linear radio frequency component such as a transistor and the optical receiver comprises a photodiode. In a particularly preferred form the photodiode is used as both the non-linear component and the optical receiver and the circuit is illuminated with a high intensity optical signal such that the photodiode operates as a non-linear device.

10

In one arrangement the circuit further comprises means, such as, for example, an optical beam splitter, for directing a part of the optical signal onto the optical detector and a part onto the reflective optical modulator. Advantageously in an alternative arrangement the optical receiver is located on the reflective surface of the optical modulator such that the optical signal is simultaneously incident on the reflective optical modulator and optical detector thereby eliminating the need for an optical beam splitter.

15

In yet a further implementation of the invention the circuit further comprises means such that the circuit transmits a radio frequency signal from the antenna and wherein the frequency of said transmitted signal is related to the modulation frequency of the optical signal used to illuminate the circuit. In a preferred embodiment the circuit is capable of simultaneously transmitting and receiving a receiver radio frequency signal. Such a circuit is ideally suited as an interrogator circuit for use in a tagging system which uses

20

tags containing semi-passive (sometimes termed pseudo passive) transponder circuits since it is capable of illuminating a semi-passive tag with an RF signal and is capable of simultaneously detecting any modulation applied to this signal by the tag. A particular advantage of such an interrogator circuit is that communication over large distances is possible using only low power radio transmitters as the circuit is optically remotely accessible.

In a further preferred arrangement a photodiode is connected to the antenna such that the resonant frequency of the antenna can be remotely tuned using the optical signal to set the capacitance of the photodiode. Such an arrangement is particularly advantageous when the circuit is being operated as a transmitter circuit. It is envisaged either to provide a separate photodiode for this purpose or to use a single photodiode to both tune the antenna and detect the modulation of the optical signal. In the case of the former it is preferred to illuminate the circuit with two optical signals (i) a continuous wave optical signal for tuning the antenna and (ii) a modulated optical signal of a different wavelength to set the local oscillator frequency of the circuit. The concept of using an optical signal both to tune an antenna and to provide the local oscillator frequency for receiving and/or transmitting an RF signal in a radio frequency circuit is considered inventive in its own right.

20

In yet a further application of the invention, a radio receiver array comprises a plurality of radio frequency circuits as described above.

With any embodiment of the invention, the optical signal can propagate through free

space and thus the circuit operates as an essentially 'line of sight' device or can be guided using an optical fibre or other light guide. In the latter case and in accordance with a further application of the invention, a distributed antenna system comprises one or more optical fibres including a plurality of radio frequency receiver circuits described above, associated with the optical fibre/s. Such an arrangement finds particular application as part of a telecommunications system within a building as it enables cordless communication using low power radio devices.

According to a second aspect of the invention a radio frequency circuit comprises an antenna and is characterised in that a photodiode connected across the antenna wherein the photodiode is operable to receive a modulated optical signal to provide the local oscillator frequency of the circuit and wherein the capacitance of the photodiode is used to tune the antenna.

In order that the invention may be better understood, five circuits in accordance with of the invention will now be described, by way of example only, with reference to the accompanying drawings in which:

Figure 1a is a schematic representation of a radio frequency receiver (relay) circuit in accordance with the invention;

Figure 1b is a schematic circuit diagram of the relay circuit of Figure 1a;

Figure 2 is a schematic of a further remotely accessible radio frequency relay circuit in

accordance with the invention;

Figure 3 is a schematic representation of a remotely accessible radio frequency relay circuit according to a further aspect of the invention in which the antenna can be remotely

5 tuned;

Figure 4 is a schematic representation of a remotely accessible radio frequency relay circuit with a further form of remote antenna tuning; and

10 Figure 5 is a schematic representation of a circuit for use in a tagging system in accordance with the invention.

Referring to Figure 1a there is shown a radio relay circuit 2 which is capable of receiving and detecting a modulated radio frequency (RF) signal 4 in the frequency range 400 to  
15 500 MHz and relaying the detected signal to a point 6 which is remote from the circuit 2. The relay circuit 2 comprises: an antenna 8 for receiving the modulated RF signal 4; a photodiode 10 which is capable of operating at radio frequencies for receiving and detecting a modulated optical signal 12; a non-linear mixer 14 for mixing the detected RF and optical signals; a piezoelectric resonator 16 and an optical beam splitter 18 for  
20 splitting the optical signal 12 to ensure that a respective part 12a, 12b of the signal is incident on the photodiode 10 and the resonator 16.

As shown in Figure 1b the non-linear RF component 14 can comprise a gallium arsenide (GaAs) field effect transistor (FET) which is configured by a matching circuit (not

9

3

3



4 and the local oscillator frequency causes the retro-reflective surface 22 of the piezoelectric resonator 16 to vibrate at this frequency. Vibration of the retro-reflective surface 22 modifies the phase and/or amplitude of the reflected optical signal 24 and this modification is detected by the laser vibrometer system 20.

5

It will be appreciated that the circuit 2 thus acts as a remotely accessible radio receiver, or relay, for detecting low power radio frequency signals and can be remotely tuned to a desired frequency of operation by the frequency of modulation of the illuminating optical signal 12. Since the optical signal 12 is used to provide energy to the circuit 2,  
10 the circuit does not need to include a battery and consequently has a very long operating life expectancy. Furthermore, due to the simplicity of the circuit, an extremely compact and inexpensive device can be produced which is preferably encapsulated in an IR transparent plastics material.

15 One example of an application for the radio relay circuit described would be for tracking goods or vehicles in an urban environment in which the goods or vehicle to be tracked carries a low power radio transmitter. Normally this would be very difficult as the buildings in such an environment prevent the low power radio signals reaching a central receiving station. However by mounting a series of the radio relay circuits described at  
20 roof height, or on the side of buildings, it is possible using a steerable laser device at the central station to remotely detect low power radio signals which are being radiated at ground level. Since the local oscillator frequency for each radio relay circuit is provided by the modulation frequency of the interrogating laser device, the radio signals from a number of radio relay circuits can be coherently compared to determine the range of the

•

[illegible][illegible]

configured to further operate as a remotely accessible radio transmitter which is capable of radiating an RF signal with a selected frequency. In such an application the circuit 2 further includes a battery, or a capacitor which is charged from the photo-diode 10 and the FET 14 is configured to self-oscillate and radiate an RF signal from the antenna 8.

- 5 The FET 14 is configured to self-oscillate using a biasing network (not shown) such that it operates in its relatively higher gain linear region of its gain characteristic. In operation the frequency of self-oscillation of the FET 14 is determined by the modulation frequency of the optical signal 12 which is used to "injection-lock" the frequency of self-oscillation. The circuit can thus act as a remotely tuneable radio transmitter in which the frequency  
10 of operation is determined by the modulation frequency of the optical signal 12.

- In a preferred embodiment of the invention, as shown in Figure 2, the photodiode 10 is configured to act not only as an optical detector for detecting the optical signal 12 but also to act as the non-linear mixing element 14, thereby eliminating the need for the  
15 transistor 14. In this arrangement the antenna comprises a bow-tie dipole broadband patch antenna (though it will be appreciated that other types of antenna can be used) and the photodiode 10 and resonator 16 are connected in parallel across the antenna 8. A radio frequency choke (RFC) 26 is provided between the photodiode 10 and resonator 16 to prevent the radio frequency signal reaching the resonator 16 thereby ensuring that only  
20 the IF signal reaches the resonator 16. When the photodiode 10 is illuminated by a sufficiently high intensity optical signal, its behaviour will become non-linear and it can then be used to mix the received RF signal 4 with the local oscillator frequency detected by the photodiode 10. Furthermore in such a circuit it is preferred to mount the photodiode 10 on the surface 22 of the piezoelectric resonator 16, thereby eliminating the

need for the optical beam splitter 18, and this results in an even more compact device.

It will be appreciated by those skilled in the art that the circuit of Figure 2 inherently acts as a remotely tuneable RF transmitter. This is because the photodiode which detects the modulated optical signal 12 generates an RF voltage across the bow-tie dipole antenna 8 which is radiated as an RF signal 38. It is thus possible to operate the circuit as a remotely tuneable radio transmitter by illuminating it with an optical signal which is modulated with the required frequency of transmission of the circuit.

Referring to Figure 3, a further preferred form of the circuit is shown which utilises a loop antenna 28 (though it will be appreciated that the arrangement applies to other types of antenna). In common with the circuit of Figure 2 the photodiode 10 is connected across the open ends of the antenna 28 and performs two functions: (i) it detects the modulation of the optical signal 12 which is applied to the non-linear mixing element 14 via a coupling capacitor 30; and (ii) it acts as a tuning capacitor to set the resonant frequency of the loop antenna 28. The photodiode 10 which is illuminated with the AM optical signal 12 produces a photo current having two components: (a) a high frequency current corresponding to the RF modulation of the optical signal; and (b) a continuous or DC current, resulting from the continuous wave component. The DC current via the loop which comprises a radio frequency choke (RFC) 26 and load resistance 32 controls the DC bias across the photodiode 10 and hence its capacitance. The capacitance of the photodiode (10) is used to tune the antenna 28 to the desired frequency of operation which, for a transmitter circuit, allows efficient radiation of the radio frequency signal 38. The use of a photodiode to both tune an antenna and detect the local oscillator frequency

for a radio frequency circuit is considered to be inventive in its own right.

To further improve the bandwidth of the circuit, two photodiodes 10, 34 are provided, Figure 4. The first photodiode 10 is used to detect the local oscillator frequency and the  
5 second photodiode 34 to tune the antenna. In operation this circuit is illuminated with two optical signals, an AM modulated optical signal 12 and a continuous wave (CW) optical signal 36 which is of a different wavelength. As described above, the AM modulated signal 12 determines the local oscillator frequency of the circuit and is detected by the photodiode 10. It will be appreciated that the photodiode 10 can also be  
10 used as the non-linear mixing element if the AM modulated optical signal 12 is of sufficient intensity though it is preferred to use a dual gate GaAs FET as the radio frequency mixer. The photodiode 10 preferably includes an optical filter which blocks the CW optical signal 36. The CW optical signal 36 is detected by the second photodiode 34 and is used to control the bias across the antenna 28, thereby allowing the antenna to  
15 be tuned for optimum performance.

Referring to Figure 5, there is shown a circuit 44 in accordance with a further aspect of the invention which is for use in a tagging system which uses tags containing "semi-active", "pseudo passive" or "reflective modulating" transponder circuits. As is known  
20 a semi-passive transponder is a transceiver circuit which can detect information transmitted to it from an interrogator circuit and transmit information to the interrogator by reflecting and modulating the illuminating signal from the interrogator circuit. As such a semi-passive transponder does not include an active transmitter circuit and relies solely on the interrogator to provide the communication medium.

The circuit 44 of Figure 5 is in essence the same as that illustrated in Figure 2 and comprises a bow-tie dipole patch antenna 8, a radio frequency photodiode 10 connected across the dipole of the antenna and a piezoelectric resonator 16. As will be seen the photodiode 10 is mounted on the reflective surface 22 of the piezoelectric resonator 16  
5 thereby eliminating the need for a beam splitter.

In this implementation the RFC 26 comprises the wire link between the resonator 16 and photodiode 10. The operation of the circuit 44 is substantially the same as that described above, in which the photodiode 10 acts as both the non-linear mixing element and the  
10 optical detector. The device 2 is illuminated with an optical signal 12 which is amplitude modulated at the required frequency of transmission of the circuit. The photodiode 10 detects this signal and generates a radio frequency voltage across the antenna 8 which radiates an RF signal 38. This RF signal 38 is received by a semi-passive tag, or transponder circuit 40, which reflectively modulates the RF signal back to the circuit 2.  
15 The reflected and modulated signal 42 is received by the interrogator circuit 44 and is mixed in the photodiode 10 with the transmitted frequency to produce an IF signal which corresponds with the modulation signal applied by the tag. The IF signal, which is applied to the piezoelectric crystal via the RFC 26, modifies the phase of the optical signal reflected from it and this modification is coherently de-modulated by the remote  
20 optical system 20. The circuit 44 thus acts as an interrogator circuit which is capable of transmitting an RF signal 38 and simultaneously receiving an RF signal 42. A particular advantage of this interrogator circuit 44 over the known arrangements which use radio frequency signals throughout, is that it can be operated at a long range using only low power RF signals.

Whilst in the various embodiments described so far the illuminating signal 12 propagates through free space such that the circuit is an essentially line of sight device it will be appreciated that, in other embodiments, the circuit can be illuminated by guiding the light using an optical fibre or other forms of light guide. One example of such an application

5 is in a cordless telecommunications system for use in a building in which a number of interrogator circuits located at various points around the building are attached to an optical fibre network. A particular advantage of this type of system is that cordless communication is possible using very low power, short range, RF devices.

10 It will be appreciated that the present invention is not restricted to the specific circuits described and that modifications can be made to them which are within the scope of the invention. For example, whilst the use of a photodiode has been described, other forms of photo-detectors can be used, such as for example, photo-transistor. Furthermore, other forms of non-linear mixing components can be used.

Whilst in all of the embodiments described the circuit can, using the optical signal, remotely detect and receive radio frequency signals it will be appreciated that the circuit can additionally perform further functions such as, for example, using an optical signal to transmit or receive ultrasonic signals. In such an application the incident laser beam is modulated with an ultrasonic AM modulation and the mixer is used to drive the acoustic resonator. Alternatively, the circuit can be operated as an ultrasonic receiver circuit to RF transmit circuit. Here an incident ultrasonic signal generates a voltage from the piezoelectric element which is mixed with a laser-provided local oscillator causing an RF signal to be emitted. In yet a further implementation the radio circuit could be

accessed using a magnetic signal as opposed to an optical signal.

FIG. 10



CLAIMS

(40)

1. A radio frequency (RF) receiver circuit (2) comprises: an antenna (8, 28) for receiving a radio frequency (RF) signal (4) and is characterised by an optical detector (10) for receiving a modulated optical signal (12) and converting it to an electrical signal; means (14) for mixing the electrical and RF signals to produce an intermediate frequency signal; and a reflective optical modulator (16) which is operable at the intermediate frequency to modify and reflect (24) the optical signal (12) with the intermediate frequency.
2. A radio frequency receiver circuit according to Claim 1 and characterised in that the means (14) for mixing comprises a non-linear radio frequency component.
3. A radio frequency receiver circuit according to Claim 2 and characterised in that the non-linear component (14) comprises a transistor.
4. A radio frequency receiver circuit according to any preceding claim and characterised in that the optical receiver (10) comprises a photodiode.
5. A radio frequency receiver circuit according to Claim 4 when dependent on Claim 1 or Claim 2 and characterised in that the non-linear radio frequency component (14) comprises the photodiode (10).
6. A radio frequency receiver circuit according to any preceding claim and

characterised in that the reflective optical modulator (16) comprises a piezoelectric acoustic resonator.

7. A radio frequency receiver circuit according to any preceding claim and further characterised by comprising means (18) for directing a part (12a) of the optical signal (12) onto the optical detector (10) and a part (12b) onto the reflective optical modulator (16).

8. A radio frequency receiver circuit according to any preceding claim and characterised by the optical detector (10) being located on the reflective surface (22) of the reflective optical modulator (16) such that the optical signal (12) is simultaneously incident on both the reflective optical modulator (16) and optical detector (10).

9. A radio frequency receiver circuit according to any preceding claim and further characterised in that the circuit (2) is operable to transmit a radio frequency signal (38) from the antenna (8, 28) and wherein the frequency of said transmitted signal (38) is related to the modulation frequency of the optical signal (12).

10. A radio frequency receiver circuit according to Claim 9 and characterised in that it is capable of simultaneously transmitting (38) and receiving (42) a radio frequency signal.

11. A radio frequency receiver circuit according to any preceding claim and further characterised by a photodiode (34) connected to the antenna (8, 28) such that the resonant

17

frequency of the antenna (8, 28) can be remotely tuned by using the optical signal (12, 36) to set the capacitance of the photodiode (10, 34).

12. A radio frequency receiver circuit according to Claim 11 in which the photodiode (34) comprises the optical detector (10).

13. An interrogator circuit (44) for use in a tagging system which uses semi-passive transponders (40) and characterised by incorporating a radio frequency receiver circuit (2) according to Claim 10.

14. A radio receiver array characterised by comprising a plurality of radio frequency receiver circuits according to any preceding claim.

15. A distributed antenna system characterised by comprising an optical fibre including a plurality of radio frequency receiver circuits (2), according to any preceding claim, associated with the optical fibre.

16. A radio frequency circuit (2) comprising: an antenna (8, 28) and is characterised in that a photodiode (10) is connected across the antenna (8, 28) wherein the photodiode (10) is operable to receive a modulated optical signal (12) to provide the local oscillator frequency of the circuit (2) and wherein the capacitance of the photodiode (10) is used to tune the antenna (8, 28).

1/3

Fig.1a.

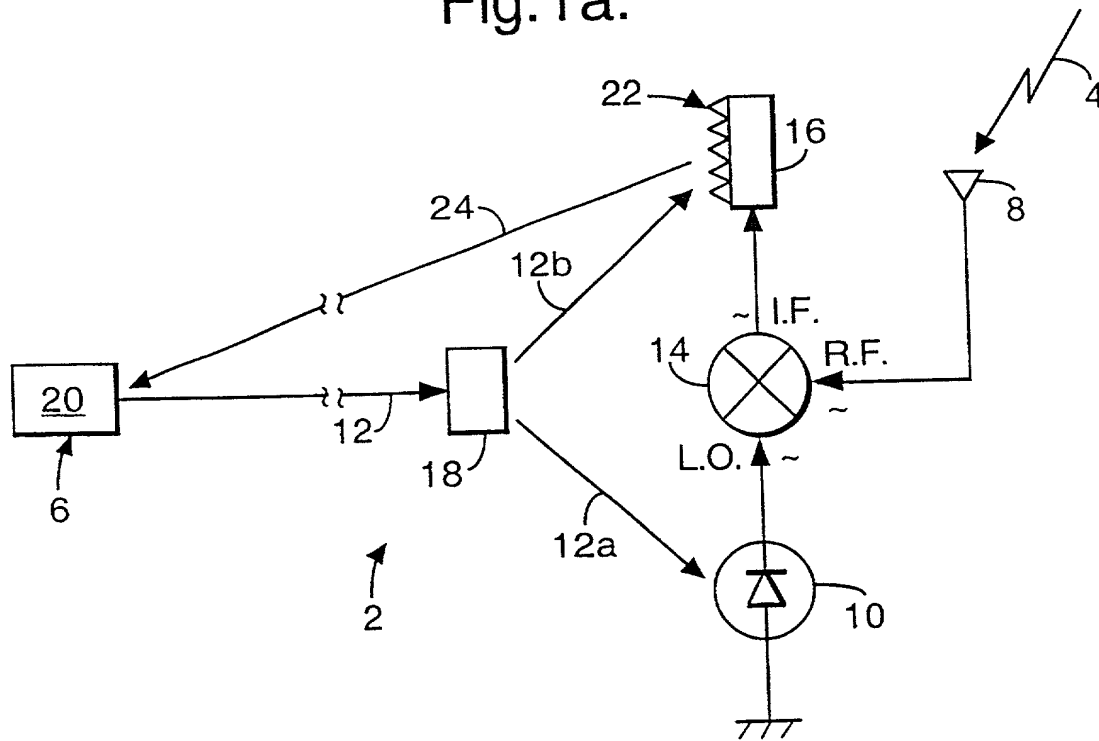
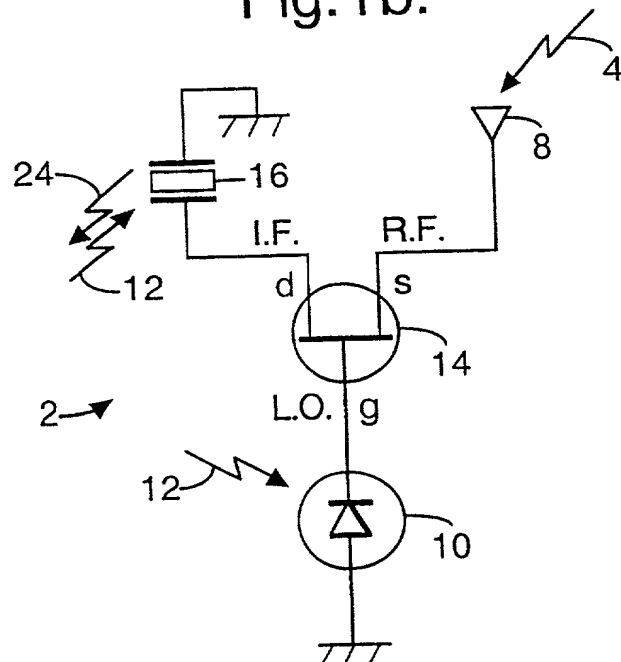


Fig.1b.



2/3

Fig.2.

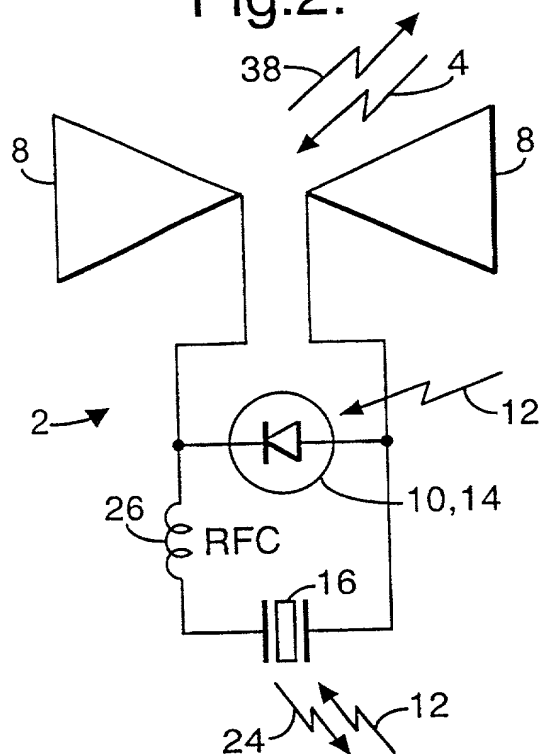


Fig.3.

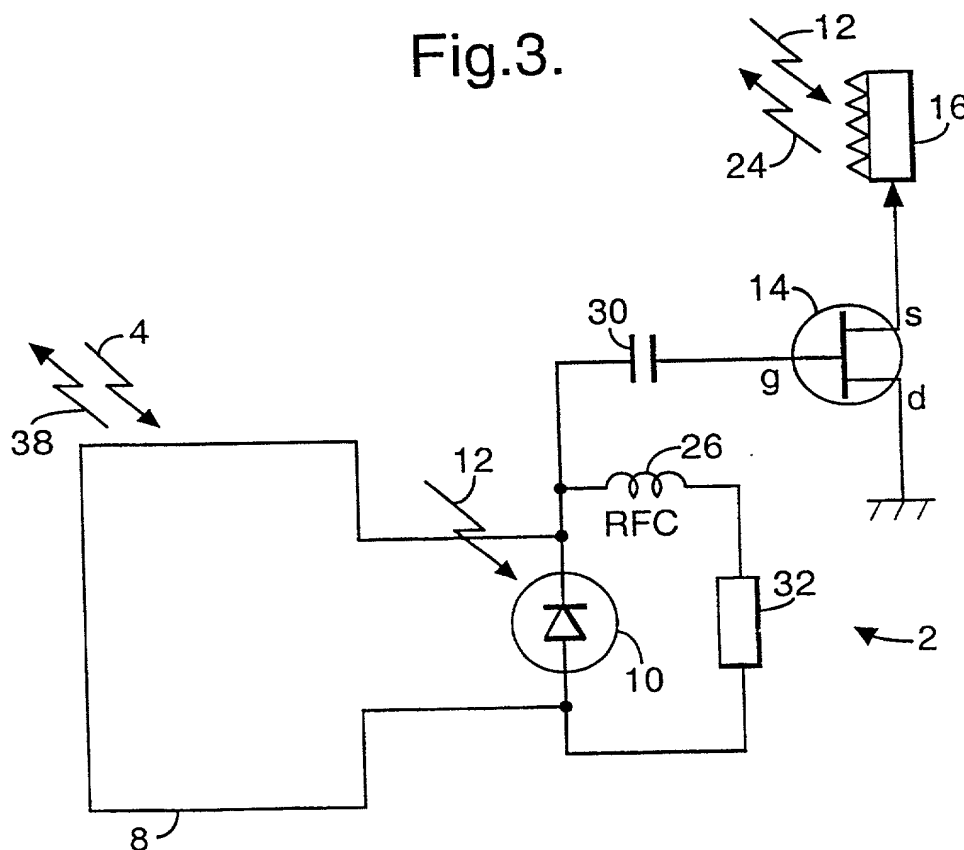


Fig.4.

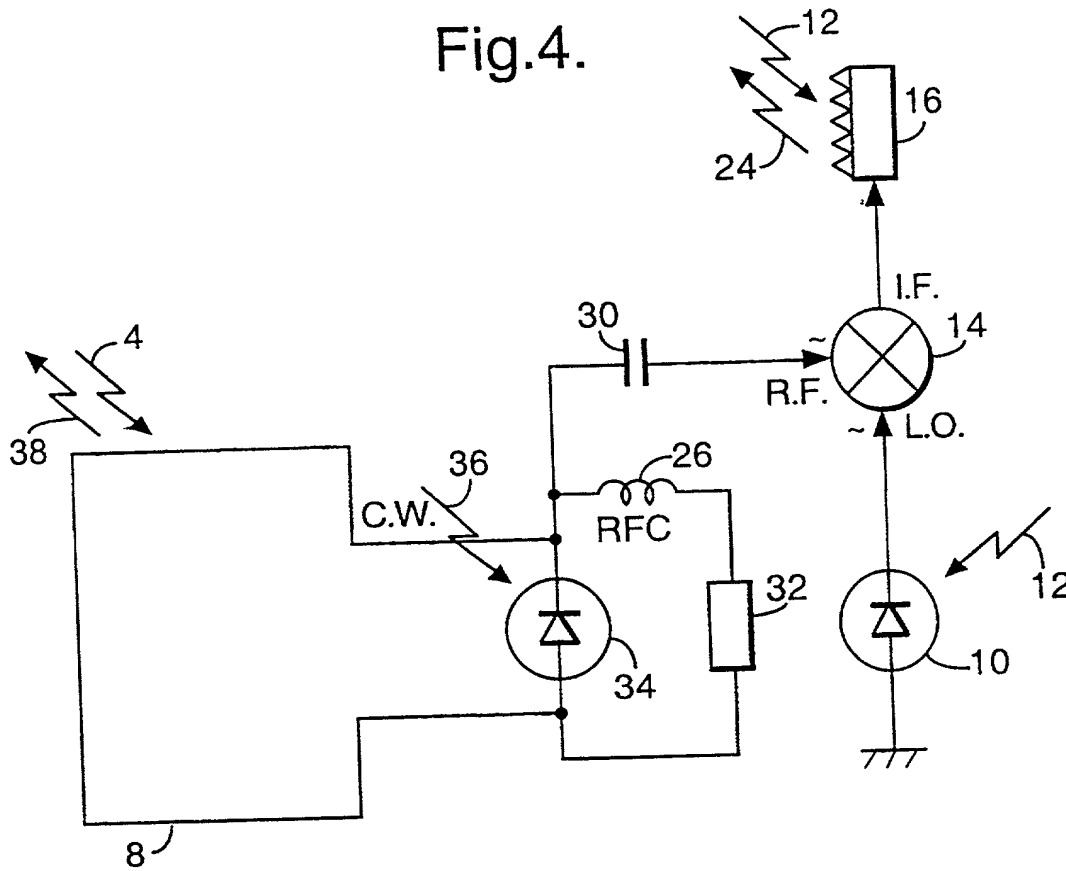
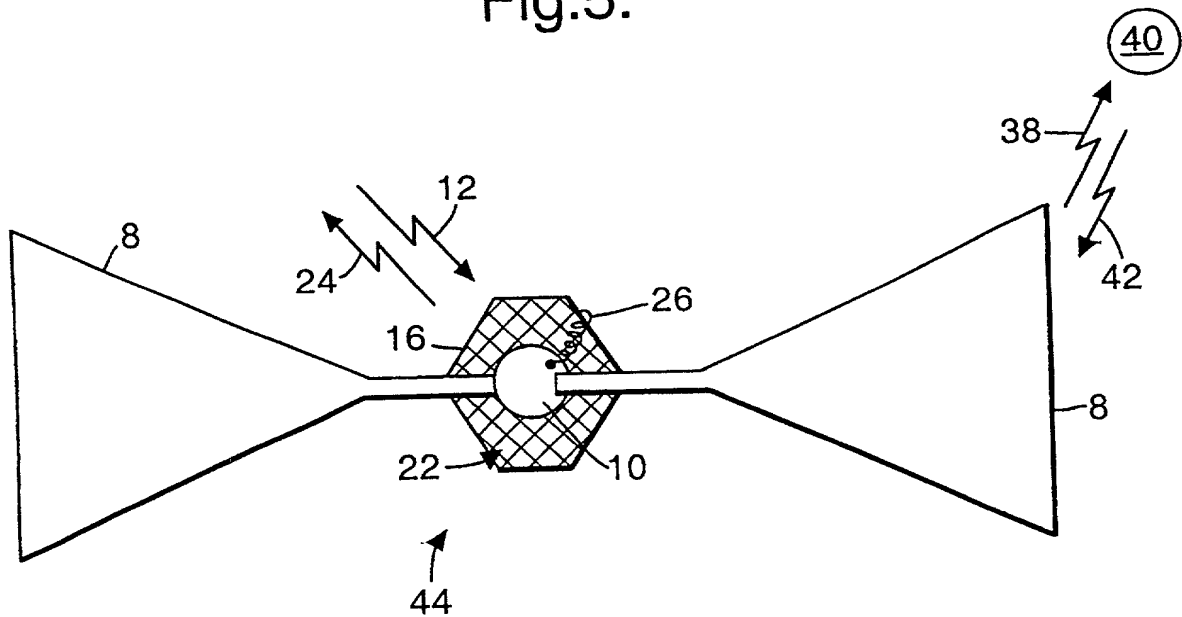


Fig.5.



Approved for use through 9/30/98

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Type a plus sign (+) inside this box → ☐

0010/PTO Rev. 8/95	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket Number	P/61460
<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION</b>		First Named Inventor	I.J. Forster
		<b>COMPLETE IF KNOWN</b>	
		Application Number	09/889,271
		Filing Date	JULY 13, 2001
		Group Art Unit	
<input type="checkbox"/> Declaration Submitted with Initial Filing <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing		Examiner Name	

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

RADIO FREQUENCY RECEIVER CIRCUIT

(Title of the Invention)

the specification of which

☐ is attached hereto  
OR
☒ was filed on (MM/DD/YYYY)

January 11 2000

as United States Application Number or PCT International

09/889,271, JULY 13, 2001

Application Number

PCT/GB00/00044

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119 (a)-(d) or §385(b) of any foreign application(s) for patent or inventor's certificate, or §365 (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
9900901.1	GB	Jan. 16, 1999	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
PCT/GB00/00044	INTERNATIONAL	Jan. 11, 2000	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.

Burden Hour Statement: This form is estimated to take .4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

T09260-1-062601

Type a plus sign (+) inside this box → ☐

## DECLARATION

Page 2

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365(c) of any PCT International application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Firm Name  Customer Number or label   
☒ List attorney(s) and/or agent(s) name and registration number below:

Name	Registration Number	Name	Registration Number
David B. Kirschstein, Esq.	17,244		
Alan Israel, Esq.	27,564		
Martin W. Schiffmiller, Esq.	30,421		


☐ Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto.

Please direct all correspondence to: ☐ Customer Number or label ☒ Fill in correspondence address below

Name KIRSCHSTEIN, OTTINGER, ISRAEL & SCHIFFMILLER, P.C.  
Address 489 Fifth Avenue  
Address   
City New York State New York ZIP 10017-6105  
Country United States Telephone (212) 697-3750 Fax (212) 949-1690

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned inventor

Given Name	<u>Ian</u>	Middle Initial	<u>J.</u>	Family Name	<u>FORSTER</u>	Suffix e.g. Jr.	
Inventor's Signature						Date	<u>26th July 2001</u>
Residence: City	<u>Chelmsford</u>	State	<u>GBX</u>	Country	<u>United Kingdom</u>	Citizenship	<u>British</u>
Post Office Address	<u>31 Great Cob, Springfield,</u>						
Post Office Address	<u>Chelmsford, Essex CM1 6LA, United Kingdom</u>						
City	<u>CHELMSFORD, ESSEX</u>	State		Zip	<u>CM1 6LA</u>	Country	<u>UNITED KINGDOM</u>
Applicant Authority							

☐ Additional inventors are being named on supplemental sheet(s) attached hereto